

Non-Retirement Account

# TRANSFER FORM

[FORM TOA.2013.1]

THE SARATOGA  
ADVANTAGE TRUST



## SECTION I: CLIENT INFORMATION

Name (Exactly As Account Is Registered)

Account Number

Street Address

City

State

Zip

Social Security Number

Date of Birth

Telephone Number

## SECTION II: INSTRUCTIONS TO PRESENT CUSTODIAN OR TRUSTEE

**1. The information currently on my non-Saratoga account is as follows:**

Name of Present Custodian

Attn: Mr./Ms.

Street Address

City

State

Zip

Account Number

Account Representative (if known)

Telephone Number

**2. Please transfer assets from the above account to the Saratoga Advantage Trust as indicated by the checked box below. All assets should be transferred in cash.**

Liquidate & Transfer the total amount in my account, or Transfer \$\_\_\_\_\_ and retain the balance.

**3. Please send redemption proceeds by check payable to:**

The Saratoga Advantage Trust

FBO Saratoga Advantage Trust Account Number: \_\_\_\_\_

4221 N. 203<sup>rd</sup> Street, Suite 100, Elkhorn, NE 68022

## SECTION III: SIGNATURES

*A Medallion Signature Guarantee may be required by your resigning custodian, if you require a signature guarantee, please do not sign until in the presence of your guarantor. Please read the prospectus(es) of the Fund(s) you select before investing.*

\_\_\_\_\_  
Depositor's Signature (exactly as name appears in Section I)

\_\_\_\_\_  
Date

**Medallion Signature Guarantee** (if required):

\_\_\_\_\_  
Name of Financial Intermediary

\_\_\_\_\_  
Authorized Signature

\_\_\_\_\_  
Stamp